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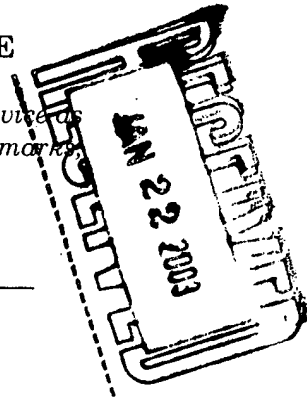
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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*Daryl A. Albus*

Daryl A. Albus



Applicant : Karl Thiele  
Application No. : 10/003,904  
Filed : November 1, 2001  
Title : DATA MANAGEMENT FRAMEWORK FOR POLICY  
MANAGEMENT

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JUN 11 2002  
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Grp./Div. : 2611  
Examiner : to be assigned

Docket No. : 41856/JEC/X2/134052

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INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
May 24, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

The Orchestream reference includes no date, but concedes that it is prior art for purposes of prosecution only. Applicant reserves the opportunity to swear behind any of the cited references should a dispute arise outside of prosecution.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered

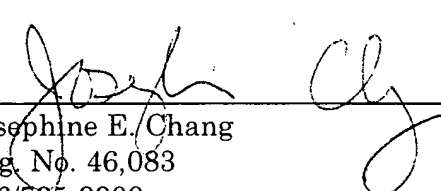
**Application No. 10/003,904**

in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

  
Josephine E. Chang  
Reg. No. 46,083  
626/795-9900

JEC/daa

Enclosures: PTO/SB/08A/B, w/references

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FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/BINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	41856/JEC/X2
Application Number	10/003,904
Filing Date	November 1, 2001
Applicant(s)	Karl Thiele
Group Art Unit	2611
Examiner Name	to be assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

## OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Novell ManageWise; 2.7 Management Services product flyer; 2000; 2 pp.
		Solstice Enterprise Manager 3.0; product overview; Sun Microsystems Solaris; 1998; 2 pp.
		Orchestream Service Activator; Switching on new network services; 6 pp.
		hp OpenView extensible SNMP agent product brief; June 2001; pp. 1-3

EXAMINER SIGNATURE	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

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